

FEDERAL BUREAU OF INVESTIGATION  
FOI/PA  
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FOI/PA# 1499386-000

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UNCLASSIFIED

To: New York From: New York  
Re: 318D-NY-NEW, 07/08/2010

[REDACTED]

b3  
b6  
b7C  
b7E

[REDACTED]

b3  
b7D  
b7E

On July 8, 2009, writer searched the following names in ACS to identify if any main case investigations are opened on above referenced matter: [REDACTED] NOVA CHEMICAL CORPORATION, SMITH INVESTMENTS LLC, DUNGAN PARTNERS LP, and INTERNATIONAL PETROLEUM INVESTMENT COMPANY. This search met with negative results.

b6  
b7C

The following sub-files for above captioned investigation should be opened and assigned to SA [REDACTED]

b6  
b7C

[REDACTED]

b7E

FoA [REDACTED] The following sub-file should be opened and assigned to

[REDACTED]

b6  
b7C  
b7E

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To: New York From: New York  
Re: 318D-NY-NEW, 07/08/2010

[REDACTED]

b3

[REDACTED]

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b7E

Prior to the New York Stock Exchange (NYSE) opening on Monday, February 23, 2009, INTERNATIONAL PETROLEUM INVESTMENT COMPANY (IPIC), an Abu Dhabi state-run oil company, announced that it would purchase NCX for \$6 per share, including assumption of all of NCX's outstanding \$2.3 billion in bond debt. The public announcement caused NCX's stock price to trade between \$5 and \$6 per share. [REDACTED]

[REDACTED]

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/08/2010

To: New York

From: New York

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 318D-NY-NEW (Pending)

Title: DUNGAN PARTNERS LP;  
SMITH INVESTMENTS LLC;  
INSIDER TRADING;

Synopsis: Request to open a full investigation and associated sub-files.

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Details: On July 7, 2010 AUSA [REDACTED] Deputy Chief of the Business and Securities Fraud Unit at the Eastern District of New York, contacted SSA [REDACTED] regarding a potential insider trading case [REDACTED]

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OPENED [REDACTED]

DATE 97 [REDACTED]

BY 7/13/10 [REDACTED]

SPY [REDACTED]

PI EFFECTIVE [REDACTED]

b6  
b7C  
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318B-NY-304825-1